



In re application of:

Group Art Unit No.: 2155

Jonathan Trostle, et al.

Examiner: Khanh Dinh

Serial No.: 09/482,156

Filed on: January 12, 2000

For: DIRECTORY ENABLED MULTICAST GROUP COMMUNICATIONS

Commissioner for Patents  
Washington, D.C. 20231

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Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

       37 C.F.R. §1.97(b).

  X   37 C.F.R. §1.97(c). If so, then this Information Disclosure Statement includes one of the following:

  X   A statement pursuant to 37 C.F.R. §1.97(e)

       1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart

A

foreign application not more than three months prior to the filing of this information disclosure statement.

X 1.97(e)(2) The undersigned hereby states that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

\_\_\_\_\_ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

\_\_\_\_\_ 37 C.F.R. §1.97(d). If so, then this Information Disclosure Statement includes the following:

\_\_\_\_\_ A statement pursuant to 37 C.F.R. §1.97(e)

\_\_\_\_\_ 1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement; OR

\_\_\_\_\_ 1.97(e)(2) The undersigned hereby states that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

AND

\_\_\_\_\_ A check for \$180.00 for the fee under 37 C.F.R. §1.17(i) for submission of the Information Disclosure Statement.

\_\_\_\_\_ 37 C.F.R. §1.97(i). Wherein applicants are submitting references before the grant of a patent to be placed in the file but not considered by the Patent office.

- (1) Accordingly, copies of the references as listed on the attached Form PTO 1449 are submitted herewith for placement in the file. No certification or fees are deemed necessary.

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Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP

Dated: August 14, 2002



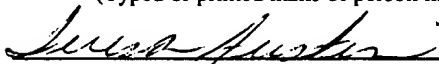
Christopher J. Palermo  
Reg. No. 42,056

1600 Willow Street  
San Jose, California 95125-5106  
Telephone: (408) 414-1080  
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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, Washington, D. C. 20231 on August 14, 2002  
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Teresa Austin

(Typed or printed name of person mailing correspondence)



(Signature of person mailing correspondence)

50325-0080



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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Jonathan TROSTLE, et al.

Serial No.: 09/482,156

Filing Date: January 12, 2000

Examiner: Khanh Dinh

Group Art Unit: 2155

For: DIRECTORY ENABLED SECURE MULTICAST GROUP COMMUNICATIONS

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Commissioner of Patents  
Washington, DC 20231

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Technology Center 2100

Dear Sir:

Transmitted herewith is an Amendment and Response in the above-identified application.

- ☐ Additional fee is required. Check in the sum of \$\_\_\_\_\_ is enclosed
- ☐ Also enclosed: Revocation and New Appointment of Power of Attorney by Assignee; and  
Grant of Associate Power of Attorney
- ☒ Information Disclosure Statement with 3 references
- ☒ Postcard.

The fee has been calculated as shown below:

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	NO. OF CLAIMS	HIGHEST PREVIOUSLY PAID FOR	EXTRA CLAIMS	RATE	FEE
Total Claims	30	30	0	\$ 18.00 =	\$ 0.00
Independent Claims	4	4	0	\$84.00 =	\$ 0.00
Multiple claims newly presented					\$ 0.00
Fee for extension of time					\$ 0.00
TOTAL FEE DUE					\$ 0.00

- ☐ Enclosed is a check in the amount of \$\_\_\_\_\_. Please charge any deficiency of fee to Deposit Account No. 50-1302. An additional copy of this transmittal sheet is submitted herewith.

- ☒ The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment, to Deposit Account No. 50-1302, including any filing fees under 37 CFR 1.16 for presentation of extra claims and any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP

By:   
Christopher J. Palermo  
Registration No. 42,056

1600 Willow Street  
San Jose, CA 95125  
(408) 414-1080 ext. 202 CJP:ta  
**Date: August 14, 2002**  
Facsimile: (408) 414-1076

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CERTIFICATE OF MAILING

I hereby certify that this is being deposited with the U.S. Postal Service as first-class mail to Addressee on the date indicated below and is addressed to:

Box Amend – Non-Fee  
Commissioner for Patents  
Washington, D.C. 20231

By: 

Typed Name: Teresa Austin

Date of Deposit: August 14, 2002